FEB 2 3 2004 6

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031

Under the Paperwork Reduction Act of 1995	U.S. Pat no persons are required to respond to a collec-	ent and Trademark Office; U.S. DEPARTMENT OF COMMERCE tion of information unless it displays a valid OMB control number.
7	Application Number	10/705,763
TRANSMITTAL	Filing Date	11/10/03
FORM	First Named Inventor	Roundtree et al.
(to be used for all correspondence after initial	filing) Art Unit	Not yet known
	Examiner Name	Not yet known
Total Number of Pages in This Submission	Attorney Docket Number	109927-135180
	ENCLOSURES (Check all th	at apply)
Fee Transmittal Form Fee Attached Amendment/Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Add Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks	After Allowance communication to Technology Center (TC) Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below): Return Receipt Postcard
	TURE OF APPLICANT, ATTORI	NEY, OR AGENT
Firm Schwabe, Williamson & Worldividual name	/yatt, P.C.	>
Signature		
Date February 18, 2004		
C	ERTIFICATE OF TRANSMISSIO	N/MAILING
sufficient postage as first class mail in an en the date shown below.	eing facsimile transmitted to the USPTO velope addressed to: Commissioner for P	or deposited with the United States Postal Service with atents, P.O. Box 1450, Alexandria, VA 22313-1450 on
Typed or printed name Christine Hurdle		
Signature Susland	Lande	Date February <u>/ 8</u> , 2004
This self-self-self for the last to the Company		

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
o a collection of information unless it displays a valid OMB control purples er the Paperwork Reduction Act of 1995, no persons are required to re

FEE	TR	AN	SM	IT.	TAL
1	for	FY	200)4	

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

SUBMITTED BY

Name (Print/Type)

Adam L.K. Philipes

•

	\sim	~~
(\$)	U.	UU

espond to a collection of into	officiation unless it displays a valid Olvib control number.				
Complete if Known					
Application Number	10/705,763				
Filing Date	11/10/03				
First Named Inventor	Roundtree et al.				
Examiner Name	Not yet known				
Art Unit	Not yet known				
Attorney Docket No.	109927-135180				

(Complete (if applicable))

Telephone 206 622 1711

Check Credit card Money Order None Order Deposit Account: Deposit Account Number Deposit Account Number Deposit Account Name The Director is authorized to: (check all that apply) Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. TEE CALCULATION 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Code (\$) Sample Fee Description Fee Pai 3. ADDITIONAL FEES Large Entity Small Entity Fee Code (\$) Small Entity Fee Fee Fee Fee Fee Fee Fee Fee Pee Fee Pee Subject of the filing fee or cath 1051 130 2051 65 Surcharge - late filing fee or cath 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet 1053 130 1053 130 Non-English specification 1812 2,520 1812 2,520 For filing a request for ex parte reexamination 1805 1,840* 1805 1,8	
Deposit Account Number Deposit Account Name The Director is authorized to: (check all that apply) Charge fee(s) indicated below Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. FEE CALCULATION 1. BASIC FILING FEE Large Entity Large Entity Small Entity Fee	
Deposit Account Number Deposit Account Number Deposit Account Name Schwabe Williamson et al. Name The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments Charge fee(s) indicated below Credit any overpayments of the above-identified deposit account. FEE CALCULATION 1. BASIC FILING FEE Large Entity Small Entity Fee	
Account Number Deposit Account Name Schwabe Williamson et al. Schwabe Wil	
Deposit Account Name The Director is authorized to: (check all that apply) Charge fee(s) indicated below Credit any overpayments Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. TEE CALCULATION 1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet 1053 130 1053 130 1053 130 1053 130 1053 130 1053 130 1054 920* 1804 920* 1804 920* 1805 1,840* 1805 1,840* 1805 1,840* 1805 1,840* 1805 1,840* 1805 1,840* 1805 1,840* 1805 1,840* 1805 1,840* 1805 1,840* 1805 1,840* 1805 1,840* 1805 1,840* 1805 1,840* 1806 1,840* 1807 1,840* 1807 1,840* 1808 1,840* 1809 1,	ካ
The Director is authorized to: (check all that apply) Charge fee(s) indicated below Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. FEE CALCULATION 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Fee Fee Fee Fee Pee Code (\$) Code (\$) Code (\$) Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. 1. Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. 1. Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Fee Description Fee Paid 1. Charge fee(s) indicated below Credit any overpayments 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Fee Pee Description Fee Paid 1. Charge fee(s) indicated below Credit any overpayments 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Fee Pee Description Fee Paid 1. Charge fee(s) indicated below Credit any overpayments 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Fee Pee Description Fee Paid 1. Charge fee(s) indicated below Credit any overpayments 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Pee Description Fee Paid 1. Charge fee(s) indicated below Credit any overpayments 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Pee Paid 1. Charge fee(s) indicated below Credit any overpayments 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Fee Pee Paid 1. Charge fee(s) indicated below Part exexamination 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Fee Pee Paid 1. Charge fee(s) indicated below Part exexamination 1. BASIC FILING FEE 1. AND STANCE FILING FE	٦
Charge fee(s) indicated below Credit any overpayments Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. 1812 2,520 1812 2,520 For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s) Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. I BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Pee Description Fee Paid 1804 920* 1804 920* Requesting publication of SIR prior to Examiner action 1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action 1251 110 2251 55 Extension for reply within first month 1252 420 2252 210 Extension for reply within second month 1253 950 2253 475 Extension for reply within third month 1254 1,480 2254 740 Extension for reply within fourth month	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account. The content of the filing fee to the above-identified deposit account.	
to the above-identified deposit account. FEE CALCULATION 1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Pee Pee Description Code (\$) Code (\$) Examiner action 1251 110 2251 55 Extension for reply within first month 1252 420 2252 210 Extension for reply within second month 1253 950 2253 475 Extension for reply within third month 1254 1,480 2254 740 Extension for reply within fourth month	┪
1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Fee Paid 1252 420 2252 210 Extension for reply within second month 1253 950 2253 475 Extension for reply within third month 1254 1,480 2254 740 Extension for reply within fourth month	4
1. BASIC FILING FEE Large Entity Small Entity Fee Fee Fee Fee Fee Description Code (\$) Code (\$)	4
Large Entity Small Entity Fee Fee Fee Fee Pee Description Fee Paid 1253 950 2253 475 Extension for reply within third month 1254 1,480 2254 740 Extension for reply within fourth month	\dashv
Code (\$) Code (\$)	┥
4005 0.040 1.0055 4.005 Extension for reply within fifth month	4
1001 770 2001 385 Utility filing fee 1255 2,010 2255 1,005 Extension for reply within min month	4
1002 340 2002 170 Design filing fee 1401 330 2401 165 Notice of Appeal	4
1003 530 2003 265 Plant filing fee 1402 330 2402 165 Filing a brief in support of an appeal	4
1004 770 2004 385 Reissue filing fee 1403 290 2403 145 Request for oral hearing	4
1005 160 2005 80 Provisional filing fee 1451 1,510 1451 1,510 Petition to institute a public use proceeding	4
SUBTOTAL (1) (\$) 1452 110 2452 55 Petition to revive - unavoidable	4
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	4
Fee from 1501 1,330 2501 665 Offility Issue fee (or reissue)	4
Total Claims below Fee Paid 1502 480 2502 240 Design issue fee	4
Total Claims	4
Claims 130 Petitions to the Commissioner Multiple Dependent 1460 130 Petitions to the Commissioner	4
1807 50 Processing fee under 37 CFR 1.17(q)	4
Large Entity Small Entity Fee Fee Fee Description 1806 180 1806 180 Submission of Information Disclosure Stmt	4
Code (\$) Code (\$) 8021 40 8021 40 Recording each patent assignment per	
1202 18 2202 9 Claims in excess of 20 1809 770 2809 385 Filing a submission after final rejection	٦
1201 86 2201 43 Independent claims in excess of 3 (37 CFR 1.129(a)) 1203 290 2203 145 Multiple dependent claim, if not paid 1810 770 2810 385 For each additional invention to be	\dashv
1204 86 2204 43 ** Reissue independent claims examined (37 CFR 1.129(b))	\dashv
over original patent 1801 770 2801 385 Request for Continued Examination (RCE)	_
1205 18 2205 9 ** Reissue claims in excess of 20 1802 900 1802 900 Request for expedited examination of a design application	_
Other fee (specify)	\Box
**or number previously paid, if greater; For Reissues, see above \$\text{SUBTOTAL (2)} \tag{\\$ \text{*Reduced by Basic Filing Fee Paid} } \text{SUBTOTAL (3)} \tag{\\$ \}	_

February 18, 2004 Signature WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Registration No.

42.071

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Application of:

Roundtree et al.

Application No.: 10/705,763

Filed: 11/10/03

For: SERVICE-VENDOR REQUEST

PROCESSING FOR DATA SERVICE PROCESSING

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this date:

2/18/04

Typed or Printed: Christine Hurdle

Signature Charles Land Date 2/18/64

Mail Stop Non-Fee Amendment Commissioner for Patents PO Box 1450 Alexandria, VA 22313-1450

PRELIMINARY AMENDMENT

To The Commissioner for Patents:

INTRODUCTORY COMMENTS

This preliminary amendment is before substantive examination on the merits. Claims 1-15 remain pending. Consideration of the above-captioned application in view of the amendments is respectfully requested.